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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
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09/219,934 12/23/98 BAER

W CA1026

EXAMINER

TM02/0802

SUSHRUE MIDN ZINN MACPEAK & SEAS
1010 EL CAMINO REAL
MENLO PARK CA 94025

DIJONG, D

ART UNIT

PAPER NUMBER

2155

DATE MAILED:

08/02/01

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

Office Action Summary

Application No.

09/219,934

Applicant(s)

BAER ET AL.

Examiner

Oanh L Duong

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 03 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 23 December 1998.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-23 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-23 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claims _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are objected to by the Examiner.
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. § 119

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☒ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

Attachment(s)

- 15) ☒ Notice of References Cited (PTO-892)
- 16) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 17) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____.
- 18) ☐ Interview Summary (PTO-413) Paper No(s). _____.
- 19) ☐ Notice of Informal Patent Application (PTO-152)
- 20) ☐ Other: _____.

Response to Amendment

Priority

1. Applicant's claim for domestic priority under 35 U.S.C. 119(e) is acknowledged.
Claims Priority from Provisional Application 60/086,382 filed on May 22, 1998.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

2. Claim 1-7, 9-13, 15-19, 21-23 are rejected under 35 U.S.C. 102(e) as being anticipated by Mullins (U.S. Patent 5,857,197).

Regarding claim 1, Mullins discloses a flexibly adaptable asset management system (see fig. 1, col. 3, lines 65-21) comprising an asset manager server disposed between the client application and the data store (see fig1, col.7, lines 39-67), the asset manager server including at least one client adapter for providing interface functions between the client application and the asset manager server (see fig.1, col. 1, lines 52-61); at least one schema adapter for mapping the assets to the data stored in the data store and for transferring the data to and from the data store in response to methods invoked in the at least one client adapter by the client application (see col. 35, lines 40-45); at least one object oriented class, being one of the classes, wherein an instance of the at least one object oriented class encapsulates the data and associated behaviors for transferring between the at least one schema adapter and the client application through the at least one client adapter (see col. 8, lines 18-36), wherein, the at least one object oriented class is flexibly adaptable, thereby allowing the system to do

one or more of handle different data types and associated behaviors and handle additional client applications (see col. 9, lines 34-47).

Regarding claims 6, 12, and 18, Mullins teaches a flexibly adaptable asset management system (see fig. 1, col. 3, lines 65-21) comprising an asset manager server disposed between the client application and the data store (see fig. 1, col. 7, lines 39-67), the asset manager server including at least one client adapter for providing interface functions between the client application and the asset manager server (see fig. 1, col. 1, lines 52-61); at least one schema adapter for mapping the assets to the data stored in the data store and for transferring the data to and from the data store in response to methods invoked in the at least one client adapter by the client application (see col. 35, lines 40-45); at least one object oriented class, being one of the classes, wherein an instance of the at least one object oriented class encapsulates the data and associated behaviors for transferring between the at least one schema adapter and the client application through the at least one client adapter (see col. 8, lines 18-36), wherein, the at least one object oriented class is flexibly adaptable, thereby allowing the system to do one or more of handle different data types and associated behaviors and handle additional client applications (see col. 9, lines 34-47), the method comprising creating a new object oriented class (see col. 10, lines 25-27) by choosing a template for the new object oriented class (see cols. 4-5, lines 66-5); choosing a domain for an instance of the new object oriented class (col. 8, lines 18-30); and implementing methods for retrieving and setting values for the instance of the new object oriented class (cols. 11-12, lines 1-4).

Regarding claims 2, 7, 13, and 19, Mullins discloses the at least one schema adapter is specific to a particular one of the assets, an asset being meta data for a particular data type (see col.3, lines 30-34).

Regarding claims 3, 9, 15, and 21, Mullins discloses the asset manager server further comprises external services for providing a link between the at least one schema adapter and the data store (see col. 4, lines 49-58).

Regarding claims 4, 10, 16, and 22, Mullins discloses the at least one schema adapter calls a specific template for the at least one object oriented class (see col.8, lines 30-34); produces the instance of the at least one object oriented class from the template; and initializes the instance of the object oriented class prior to the transferring between the at least one schema adapter and the client application through the at least adapter (see col. 8, lines 30-36).

Regarding claims 5, 11, 17, and 23, Mullins discloses the at least one schema adapter calls the specific template for the at least one object oriented class using the data type (see col. 8, lines 30-36) and an action path provided to the at least one schema adapter from the client application through the at least one client adapter (see col. 7, lines 39-54).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the

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invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 8, 14, and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mullins (U.S. Patent 5,857,197) in view of Ludwig et al. (U.S. Patent 6,006,230).

Mullins teaches the limitations of the independent claim 6, 12, and 18 as given in the U.S.C 102(e) rejection above; however, Mullins does not teach creating a local copy of the instance and implementing remote and local methods and interfaces as claimed. Ludwig et al. teach that creating a local copy of the instance of the new object oriented class in the client application (see col.39, lines 35-38); and implementing remote and local methods and interfaces to support the instance and the local copy of the instance respectively (see col. 2, lines 40-52). Therefore, it would have been obvious to have used the method in Mullins as taught by Ludwig because methods available to the object instance can be invoked locally thereby the client can serve as a server of the object, in addition to being a client of objects from the server.

4. Applicant's arguments filed on May 14, 2001 have been fully considered but they are not persuasive.

5. In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., read-write capabilities) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

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Regarding claims 1, 6, 12 and 18, Mullins teaches transferring data to and from the data store (see col.4 lines 49-65 and col. 5 lines 13-30).

6. THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Oanh L. Duong whose telephone number is (703) 305-0295. The examiner can normally be reached on Monday - Friday, 8:00am - 4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz sheikh can be reached on (703) 305-9648. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 305-3718 for regular communications and (703) 305-3718 for After Final communications.

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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3900.

O.D.
July 27, 2001

Ayaz Sheikh
AYAZ SHEIKH
PATENT EXAMINER
BIOLOGY CENTER 2100

Ayaz Sheikh
AYAZ SHEIKH
SUPERVISORY PATENT EXAMINER
BIOLOGY CENTER 2100